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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of: **YOSHIMURA, Kohshi, et al.**

Attention: **Applications Division**

Serial Number: **10/511,598**

Group Art Unit: **1762**

Filed: **November 2, 2004**

**P.T.O. Confirmation No.: 4141**

**FOR: METHOD FOR PRODUCING CORROSION-RESISTANT RARE EARTH METAL-BASED PERMANENT MAGNET, CORROSION-RESISTANT RARE EARTH METAL-BASED PERMANENT MAGNET, DIP SPIN COATING METHOD FOR WORK PIECE, AND METHOD FOR FORMING COAT**

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: July 19, 2005

Sir:

Please supply the undersigned attorney with a corrected filing receipt for the above-identified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the official Filing Receipt, we noted an error in that the **title is incorrect and should read as -- METHOD FOR PRODUCING CORROSION-RESISTANT RARE EARTH METAL-BASED PERMANENT MAGNET, CORROSION-RESISTANT RARE EARTH METAL-BASED PERMANENT MAGNET, DIP SPIN COATING METHOD FOR WORK PIECE, AND METHOD FOR FORMING COATING FILM ON WORK PIECE --**. A copy of the **Declaration** is enclosed which indicates the correct information. We are enclosing a copy of the filing receipt with the corrections highlighted.

In the event that any fees are required in connection with this paper, please charge our Deposit Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, KRATZ, QUINTOS,  
HANSON & BROOKS, LLP

Donald W. Hanson

Attorney for Applicants

Reg. No. 27,133

DWH/bjb

Atty. Docket No. **040566**

Suite 1000

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Washington, D.C. 20006

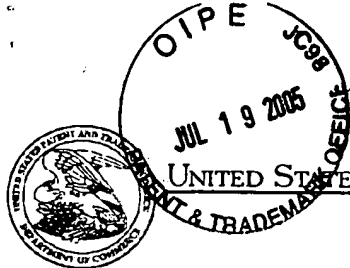
(202) 659-2930



**23850**

PATENT TRADEMARK OFFICE

Enclosures: Official Filing Receipt and Declaration



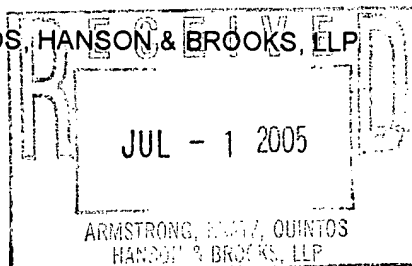
## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
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APPL. NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLMS	IND CLMS
10/511,598	11/02/2004	1762	1074	040566	7	22	4

CONFIRMATION NO. 4141

23850  
 ARMSTRONG, KRATZ, QUINTOS, HANSON & BROOKS, LLP  
 1725 K STREET, NW  
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## FILING RECEIPT



\*OC000000016323151\*

Date Mailed: 06/28/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Kohshi Yoshimura, Osaka, JAPAN;  
 Tomoiku Otani, Osaka, JAPAN;

**Power of Attorney:** The patent practitioners associated with Customer Number 23850.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/15268 11/28/2003

## Foreign Applications

JAPAN 2002-348841 11/29/2002  
 JAPAN 2003-319207 09/11/2003

**Projected Publication Date:** 09/29/2005

**Non-Publication Request:** No

**Early Publication Request:** No

## Title

Method for producing corrosion-resistant rare earth based permanent magnet, corrosion-resistant  
 -- method for producing corrosion-resistant rare earth metal-based permanent magnet, corrosion-resistant rare earth metal-based permanent magnet, dip  
 Spin Coating method for work Piece, and method for forming Coating  
 film on work Piece --

7/5/05

rare earth based permanent magnet, dip spin coating method for work piece, and method for forming coating film on work piece

## Preliminary Class

427

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

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### LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

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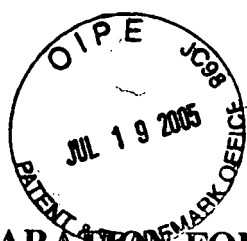
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Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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Docket No.



Armstrong, Kratz, Quintos, Hanson & Brooks, LLP

## DECLARATION FOR U.S. PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

METHOD FOR PRODUCING CORROSION-RESISTANT RARE EARTH METAL-BASED  
PERMANENT MAGNET, CORROSION-RESISTANT RARE EARTH METAL-BASED  
PERMANENT MAGNET, DIP SPIN COATING METHOD FOR WORK PIECE, AND  
METHOD FOR FORMING COATING FILM ON WORK PIECE

the specification of which is attached hereto unless the following is checked

☒ was filed on November 28, 2003 as United States Application Number or PCT International Application  
Number PCT/JP03/15268 and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 (a) - (d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application for which priority is claimed.

(List prior foreign applications. See note A)	2002-348841	Japan	29/November/2002	Priority Claimed	
	(Number)	(Country)	(Day/Month/Year Filed)	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
	2003-319207	Japan	11/September/2003	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
	(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
	(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
	(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No

(See note B) ☐ See attached list for additional prior foreign applications

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

(List prior U.S. Applications)			Status		
	(Application Serial No.)	(Filing Date)	<input type="checkbox"/> Patented	<input type="checkbox"/> Pending	<input type="checkbox"/> Abandoned
	(Application Serial No.)	(Filing Date)	<input type="checkbox"/> Patented	<input type="checkbox"/> Pending	<input type="checkbox"/> Abandoned
	(Application Serial No.)	(Filing Date)	<input type="checkbox"/> Patented	<input type="checkbox"/> Pending	<input type="checkbox"/> Abandoned
	(Application Serial No.)	(Filing Date)	<input type="checkbox"/> Patented	<input type="checkbox"/> Pending	<input type="checkbox"/> Abandoned

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Customer Number

23850

PATENT TRADEMARK OFFICE

Please direct all communications to the following address:

Customer Number

23850

PATENT TRADEMARK OFFICE

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18 of the United States Code, § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

(See note C) Full name of sole or first inventor (given name, family name) Kohshi YOSHIMURA

Inventor's Signature Kohshi Yoshimura Date October 12, 2004

Residence Osaka, Japan Citizenship Japanese

Post Office Address 2-525, Nagaotanimachi, Hirakata-shi, Osaka 573-0164 Japan

Full name of second inventor (given name, family name) Tomoiku OTANI

Inventor's Signature Tomoiku Otani Date October 12, 2004

Residence Osaka, Japan Citizenship Japanese

Post Office Address 3-1-40-503, Nagahata-cho, Yao-shi, Osaka 581-0083 Japan

Full name of third inventor (given name, family name) \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

Full name of fourth inventor (given name, family name) \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

Full name of fifth inventor (given name, family name) \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

Full name of sixth inventor (given name, family name) \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_